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Temporal Trends - Original

1 Temporal trends in the uptake and continuation of the etonogestrel implant in a large private
2 practice setting.

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47 Short title: Trends in the uptake of the etonogestrel implant.

48

49 Abstract

50 Objective: To assess temporal trends in the uptake and continuation of the etonogestrel
51 subdermal implant in a large private practice setting.

52 Methods: This was a retrospective cohort study based on billing records from a large multi-
53 specialty private practice in Las Vegas, Nevada. We looked at women of all ages seeking long-
54 acting reversible contraception between January 1, 2013 and December 31, 2016. The main
55 outcome measure was uptake of the etonogestrel subdermal implant, expressed as a fraction of
56 all insertions of long acting reversible contraceptives, across four calendar years (2013-2016).
57 The Kaplan-Meier method was used to estimate 12-month continuation stratified by year of
58 insertion.

59 Results: There were 3477 total LARC insertions across the 4-year study period. In unadjusted
60 analyses, the uptake of the etonogestrel implant increased from 3.0% of LARC insertions in 2013
61 to 9% in 2016 among women 30 years and older. For women under 30 years old, the uptake of
62 the implant stayed stable from 2013-2015(22.8%, 21.7%, 22.4%) but increased to 30.9% in
63 2016. We modeled the uptake of the implant as a function of year of insertion adjusted for age
64 (continuous) and insurance status (Private vs. Medicaid) and we stratified the models by age
65 (less than 30 and 30 years and older). The positive association between year of insertion and
66 uptake of the implant was significantly stronger for women 30 and older compared to women
67 under 30 years old. There was a progressive decrease in the 12-month continuation of implant
68 from 2013(95.7%) to 2015(57.7%).

69 Conclusions: In this large private practice setting, among women 30 years and older, we
70 observed a 3-fold increase in the uptake of the subdermal implant from 2013-2016. We also

71 observed a significant decrease in the 12-month continuation of the implant over time. Further
72 studies of implant uptake and continuation in the private practice setting are needed.

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74

75 Keywords: etonogestrel subdermal implant, intra-uterine device, long acting reversible
76 contraception.

77

78 Introduction

79 There are two broad categories of long-acting reversible contraception (LARC) in the United
80 States. In one category, there is the etonogestrel subdermal implant and in the other there are
81 levonorgestrel containing intra-uterine devices(IUDs) and the non-hormonal copper containing
82 intra-uterine device.¹

83 In the largest prospective study of LARC uptake in the United States, the overall uptake of the
84 subdermal implant was 23%². These women had their insertions between 2007 and 2011 and all
85 women received the contraception of their choice at no cost. The uptake of the subdermal
86 implant was similar in the largest retrospective study of LARC uptake in the United States³.
87 These women were beneficiaries in the United States military health care system which is
88 characterized by universal health care with no copays for contraception. In the largest “real-
89 world” retrospective study of women in a mixed-payer setting including self-pay, Medicaid, and
90 commercial insurance, the uptake of the implant was only 11.7%.

91 The uptake of the implant appears to be even higher among adolescents and women desiring
92 immediate post-partum LARC insertion. Among women aged 14-19 years old in the
93 Contraceptive CHOICE study, 51% chose the subdermal implant². Two single institution studies
94 examining women desiring a LARC method immediately post-partum found the implant uptake
95 to be between 35%⁴ and 43%⁵.

96 In terms of continuation rates some studies show higher continuation for intra-uterine devices
97 compared to the implant at 1 year^{3,6,7}, 2 years⁸ and 3 years^{3,9}. Others however have found
98 essentially identical rates of continuation for the implant and intra-uterine devices at 6- months⁴
99 and at 2-years¹⁰. In contrast, a meta-analysis of observational studies found a significantly

100 higher 12-month continuation of the implant, compared to intra-uterine devices, among
101 adolescents¹¹. Another study found that among implant users, adolescents had the highest 3-year
102 continuation rate³.

103 Although the 52mg levonorgestrel IUD has enjoyed dominance among long acting methods of
104 reversible contraception(LARCs), there has been an increase over time in the negative publicity
105 surrounding the complications associated with IUDs—specifically uterine perforation and device
106 migration. Dozens of federal lawsuits have been filed against the manufacturer of the 52mg
107 levonorgestrel IUD alleging that the device can perforate the uterus and migrate in the body.
108 These were consolidated in a multidistrict litigation in the Southern District of New York (In Re:
109 Mirena IUD Products Liability Litigation, MDL Docket No. 2434, JPMDL). It is unclear
110 whether this increase in negative publicity surrounding intra-uterine devices is having any impact
111 on women’s contraceptive decision making.

112 The studies discussed above were either conducted in “ideal” settings with no out of pocket
113 costs^{3,6,8,9} or in teaching hospitals^{4,5,10}. The primary aim of this study was to assess temporal
114 trends in the uptake and continuation of the etonogestrel subdermal implant specifically in the
115 private practice setting.

116

117 **Materials and Methods.**

118 *Study design:* Retrospective cohort study.

119 *Study population:* Women receiving a LARC insertion at a single, large multispecialty practice in
120 Las Vegas, Nevada between January 1, 2013 and December 31, 2016(n=3,477 women).

121 *Data source:* The exclusive data source for this report was billing records.

122 *Primary outcome variable:* Etonogestrel implant uptake. Etonogestrel implant procedures
123 (insertions and removals) were identified by CPT codes 11981(insertion, non-biodegradable drug
124 delivery implant), 11982 (removal, non-biodegradable drug delivery implant), and
125 11983(removal with reinsertion, non-biodegradable drug delivery implant). Intra-uterine device
126 procedures were identified by the CPT codes 58300(insertion of IUD) and 58301(removal of
127 IUD). These two codes, 58300 and 58301, do not distinguish between the copper IUD and the
128 levonorgestrel IUD.

129 For each calendar year, from 2013 to 2016, we identified all LARC insertions and classified each
130 as “Implant” or “IUD.” We then analyzed the fraction of all LARC insertions that were Implant
131 insertions for each study year.

132 *Confounding variables:* To compute age, we subtracted the date of LARC insertion from the
133 date of birth and divided by 365.25 to convert from days to years. Age was then categorized into
134 “less than 30 years” and “30 years and older.” The patient’s insurance status as at the time of
135 their LARC insertion was classified as “Private/commercial” versus “Medicaid/Self pay.” Self-
136 paying women were grouped with Medicaid participants due to the extremely small number of
137 these women (less than 1%).

138 *Primary exposure variable:* Year of insertion (2013, 2014, 2015 and 2016).

139 *Statistical methods:*

140 In our bivariate analyses, we assessed the association between age and year of insertion and
141 between insurance status and year of insertion. We also assessed the association between
142 insurance status and uptake of the implant (as a fraction of LARC insertions) within strata

143 defined by age. These analyses were done using the chi-square test. Our significance level was
144 set at 0.05 but we adjusted this, using the Bonferroni correction, whenever there were multiple
145 comparisons.

146 In our multivariate analyses the dependent variable was a dichotomous variable indicating
147 whether the LARC inserted was an implant versus an intra-uterine device. The primary
148 independent variable was year of insertion modeled as a series of dummy variables: 2014 vs.
149 2013; 2015 vs. 2013; and 2016 vs. 2013. Also included as covariates were age, modeled as a
150 continuous variable, and insurance status modeled as a dichotomous variable. We used logistic
151 regression modeling and we conducted models in two strata: one comprising women under 30
152 years old and one comprising women 30 years and older.

153 We modeled 12-month continuation of the implant using the Kaplan-Meier method. Women
154 with a claim for an implant insertion but no claim for removal over the ensuing 12 months were
155 censored as at 12-months. We could not assess 12-month continuation for insertions in 2016
156 because as at the time of this analysis 12 months had not yet passed from the end of 2016.

157 We used STATA (College Station, TX, version 14) for all analyses. This study was approved by
158 the Institutional Review Board at Tuoro University, Nevada.

159

160 Results.

161 We studied 3,477 LARC insertions of which 2930(84.3%) were insertions of IUDs and 547
162 (15.7%) were insertions of the subdermal implant. Of the 3,477 insertions, 249 occurred in
163 women under 20 years old. Among this adolescent subgroup, the uptake of the implant was
164 54.6% compared to 45.4% for IUDs ($p<0.001$). The average age of women receiving the

165 implant was 24.9(\pm 6.5) years compared to 31.7(\pm 7.2) years for women receiving an IUD
166 ($p < 0.001$).

167 In bivariate analyses the proportion of LARC insertions that occurred in women under 30 years
168 old decreased slightly from 51.6% in 2013 to 47.7% in 2016 (Table 1). In 2013 only 5.1% of all
169 LARC insertions were in women with Medicaid/self-pay status but this progressively increased
170 to 19.6% by 2016 ($p < 0.001$) (Table 1).

171 In terms of the overall uptake of the implant, it was 6.1% among women 30 years and older but
172 25.5% among women under 30 years old. There was no association between insurance status and
173 implant uptake among women 30 and older, but among women under 30, those with private
174 insurance were more likely to have had an implant inserted compare to those with Medicaid/self-
175 pay status (26.8% versus 18.4%, $p = 0.003$).

176 In our unadjusted temporal analyses, the uptake of the etonogestrel implant increased from 3.0%
177 of LARC insertions in 2013 to 4.5% in 2015 to 9% in 2016 among women 30 years and older.
178 For women under 30 years old, the uptake of the implant stayed stable from 2013-2015 (22.8%,
179 21.7%, 22.4%) but increased to 30.9% in 2016.

180 In multivariate analyses, shown in Table 3, there was a positive association between year of
181 insertion and odds of receiving an implant (versus an IUD) but the association was stronger for
182 women 30 and older where the odds of receiving an implant was 3.4 times higher in 2016
183 compared to 2013. Among women under 30 years old there was a negative association between
184 increasing age and implant uptake and a positive association between implant uptake and having
185 private insurance.

186 In terms of continuation of the implant over time, the 12-month continuation rate was 95.7% for
187 insertions in 2013(95%CI 72.9-99.4%); 82.7% for insertions in 2014(95%CI 70.0-90.3%) and
188 57.7% for insertions in 2015 (95%CI 31.0-77.3%). The survivor curves are illustrated in Figure 1
189 and when compared using the log-rank test, the 3 curves were significantly different ($p=0.009$).

190

191 Discussion.

192 In this large retrospective study, based in a private-practice setting in the United States, we found
193 a significant increase over time in the uptake of the etonogestrel implant particularly among
194 women 30 years and older. Among this group, the unadjusted uptake of the implant increased
195 three-fold from 2013 to 2016. We also found a progressive decrease in the 12-month
196 continuation of the implant over time.

197 Our findings of an increase in the uptake of the etonogestrel implant over time is broadly
198 consistent with the findings from a large retrospective study in the United States military health
199 care system—characterized by universal health care with no copays for contraception. In that
200 study³, initiation rates for the implant increased almost 4-fold over the five-year study
201 period(2009-2014). In that same study, initiation rates for intrauterine devices were essentially
202 stable over time. In that study, like ours, users of intra-uterine devices were significantly older
203 than implant users (26.9 years vs. 23.0 years), although the age difference in our study was wider
204 (31.7 vs. 24.9 years).

205 Another study using health insurance claims looked at women with a claim for any LARC
206 between 2007 and 2011¹². In 2007, 3.8% of the LARC insertions were implant insertions and by
207 2011, 13.7% of LARC insertions were implant insertions. This study found that the increase in

208 the uptake of the implant (versus IUDs) over time was strongest for the youngest women (15-19
209 years old). The results of our study, conducted in a later era, were the opposite. In our study, the
210 association between the uptake of the implant and year of insertion was strongest among women
211 30 years and older (Table 3). We suspect that the timing of the two studies may play a large role
212 in explaining the differences. The period from 2007 to 2011 was the first four years after the
213 introduction of the implant and it is well established that this method was much more attractive
214 to adolescents.

215 The results of our study and the study by Chiles et al, though similar to each other, are different
216 from another earlier study using data from the National Survey of Family Growth¹³. In that
217 study, there was indeed a significant increase in the uptake of LARCs from 2009 to 2012 but the
218 increase was accounted for entirely by increases in the uptake of intrauterine devices. Of note,
219 this entire period was before the implementation of the Affordable Care Act in 2013.
220 Considering that our study period extends from 2013 to 2016, we suspect that when the next
221 analysis from the National Survey of Family Growth is conducted to examine trends from 2012
222 to 2015 or 2016 it will probably show an increase in the uptake of the implant as both our study
223 and the study by Chiles et al showed.

224 No other study that we are aware of has looked at 12-month continuation of the implant over
225 time. In a large retrospective study, also using billing records, Sanders et al¹⁰ looked at 2-year
226 continuation of LARCs. As part of their analyses, they included “year of insertion” as a
227 continuous variable in their adjusted and unadjusted models. Year of insertion was not associated
228 with 2-year continuation rates in this study. Of note, this study did not separate the implant from
229 intrauterine devices in the analyses that included year of insertion as a covariate.

230 Another unique finding from this study was the association between type of insurance and type
231 of LARC selected, and the interaction between this association and age. Among women under 30
232 privately insured women were more likely to select the implant than women on Medicaid. But
233 among women 30 years and older, there was no such association. Other studies have shown that
234 decreasing out-of-pocket costs can increase the uptake of LARCs as a whole, but we are not
235 aware of another study that showed that type of insurance is associated with the type of LARC
236 selected. This issue deserves further study.

237 It was intriguing to see the significant increase in the proportion of LARC insertions in this
238 private practice setting that were paid for by Medicaid. If our practice was located in a rural area
239 we would not be so surprised. However, our practice and its nine clinics are in Las Vegas, a
240 major metropolitan area. By 2016, the fraction of LARC insertions in our practice paid for by
241 Medicaid increased to just under 20% compared to 5% in 2013. Our speculation is that the
242 expansion of Medicaid as part of the Affordable Care Act may be a contributing factor. The role
243 of Medicaid as a payor for contraception is critical at this juncture as the United States considers
244 repealing the Affordable Care Act and reducing spending on Medicaid. Again, this issue
245 deserves further study.

246 This study has some notable strengths. This is the first study we know of that looked at temporal
247 trends in the continuation of the etonogestrel implant over time. Like other studies using billing
248 records our sample size was large enough to permit meaningful analyses and stratification of
249 those analyses. This study focused on patients in the private practice setting. Most large-scale
250 studies of LARCs in the United States have been done in teaching/research hospitals. Although
251 our billing records did not contain the race of each patient, our practice is located in Las Vegas
252 which has a very racially diverse population.

253 This study also has notable limitations. Billing records are not created for research purposes so
254 they do not have detailed clinical information. Billing records are based on “codes” and because
255 codes are imputed by human beings there is always a chance of coding error/misclassification.
256 Misclassification due to coding error would mainly be a problem if it was not random across
257 comparison groups. Our practice employs certified coders who go back through a patient’s chart
258 to ensure the documentation in the chart supports the claim submitted by the physician. This
259 increases our confidence that our findings are not spurious. One mistake that coders cannot
260 catch, however, is the scenario in which a physician inserts or removes a LARC but forgets to
261 bill for that procedure. It is possible that during the study period some women may have had
262 LARC removals and LARC insertions that were never billed for and therefore those would not
263 be included in our analytic data set.

264

265 Conclusion.

266 In this large private practice setting, we found an increase over time in the uptake of the
267 etonogestrel implant particularly among women 30 years and older. We also found a decrease in
268 the 12-month continuation of the implant over time. Further studies of LARC uptake and
269 continuation in the private practice setting are needed.

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311 Author Disclosure Statement.

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